

**UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WISCONSIN**

**U.S. COMMODITY FUTURES
TRADING COMMISSION,**

Plaintiff

,v.

EDWARD S. WALCZAK,

Defendant.

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)
)
) **No. 20 Civ. 75**
)
) **ECF Case**
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DEFENDANT, EDWARD S. WALCZAK'S RULE 26(a)(3) DISCLOSURES

Defendant, Edward S. Walczak, respectfully submits the following disclosures in accordance with this Court's order and Rule 26(a)(3):

Rule 26(a)(3)(A)(i) – Witnesses

Defendant expects to call the following witnesses at trial:

Edward S. Walczak
c/of Zachary J. Ziliak
ZILIAK LAW LLC
141 W. Jackson Blvd., Suite 4048
Chicago, IL 60604

Kimberly Rios
c/of James C. Yong
Baugh, Dalton, Carlson & Ryan, LLC
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Chicago, IL 60603-4223

Jerry Szilagyi
c/o James F. Moyle
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Michael Schoonover
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George Amrhein
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Jeremy O'Keefe
c/o Richard Reibman
55 E. Monroe
Chicago, IL 60603
312-580-2205

CFTC Rule 30(b)(6) Witness – Topic: Interrogatory Answers
c/o Sam Wasserman
Commodity Futures Trading Commission
140 Broadway, 19th Floor
New York, NY 1005
swasserman@cftc.gov

Michael DeLaval
c/of Zachary J. Ziliak
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Norman Harrison
c/of James L. Kopecky
KOPECKY SCHUMACHER ROSENBERG LLC
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Daniel Saffrin
25 Ronan Road, Unit 205
Highwood, IL 60040

Defendant may call the following witnesses at trial:

Bart Evans
Evans Investment Advisors LLC
6713 Perkins Road
Baton Rouge, LA 70808
225-761-7870

Paul Rieger
RCM Alternatives
318 W. Adams Street
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312-870-1512

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Northern Lights Distributor
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Charles Jacobsen
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Jason Waxler
Katonah Capital Group, LLC
American Portfolio Financial Services
80 Business Park Drive, Suite 304
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Brian Prichard
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2 Cotton Street, Suite 200
Portland, ME 04101
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Chad Edwards
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Ralph H. Hendry

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218 N. Lee Street, Suite 300
Alexandria, VA 22314

Michael James Hanks
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3000 Woodcreek Drive, Ste 210
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Ralph Allen
HawkPeak
7400 30th Street, SE
Ada, MI 49301

August Ewald
(need contact information)

Defendant may call any witness identified by Plaintiff on its Rule 26(a)(3)(ii) witness list.

Rule 26(a)(3)(A)(ii) - Deposition Designations

Defendant does not expect to present any testimony by deposition.

Rule 26(a)(3)(A)(iii) - Exhibits

Defendant's exhibit list is being exchanged with Plaintiff's counsel separately pursuant to this Court's order.

Dated: November 19, 2021

Respectfully submitted,

By: /s/ James L. Kopecky
James L. Kopecky (ILBar#6225359)
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CERTIFICATE OF SERVICE

The undersigned, an attorney, hereby certifies that he caused true and correct copies of the foregoing document to be served upon all counsel of record via email on November 19, 2021.

/s/ James L. Kopecky